

Today: shell sort; polyphase merging. §§8.1–8.4; Reynolds’s paper.¹

Next class: Skew and pairing heaps. §§23.*. Reminder: Read *before and after* class.

1. List the members of your group below. Underline your name.
2. A *subsequence* of sequence S is any sequence that can be obtained from S by deleting zero or more of its elements. For example, $(1, 4, 9, 2)$ is a subsequence of $S_1 = (3, 1, 4, 5, 9, 2)$, but $(1, 9, 4)$ is not. A subsequence S' of S is called a k -*subsequence* if each pair of adjacent elements in S' has $k - 1$ intermediate elements in S . For example, $(1, 5, 2)$ is a 2-subsequence of S_1 , and $(3, 5)$ is a 3-subsequence of S_1 , but $(1, 5, 9)$ is not a k -subsequence of S_1 for any value of k (although it is a subsequence of S_1). A k -subsequence with n elements is called *maximal* if there is no k -subsequence with $n + 1$ elements. List all *maximal 5-subsequences* and *maximal 7-subsequences* of the following sequence:

50 40 60 70 65 75 62 63 41 42 51 52 53 54

3. We say a sequence is k -*sorted* if all of its k -subsequences are sorted. For each of the following, provide an example of a sequence with the indicated properties, or explain why no such sequence exists.
 - (a) 7-sorted but not 5-sorted.
 - (b) 5-sorted but not 7-sorted.
 - (c) 6-sorted but not 3-sorted.
 - (d) 3-sorted but not 6-sorted.

¹Samuel W. Reynolds, “A Generalized Polyphase Merge Algorithm,” *Communications of the ACM* 4/8 (1961).

4. Sort the following array in ascending order using *shellsort with increment sequence* (1, 5, 7).² Depict the state of the array after each k -sort, for $k = 1, 5, 7$ and highlight the moved elements at each stage.

50 40 60 70 65 75 62 63 41 42 51 52 53 54

5. Refer to Reynolds's paper³ on generalized polyphase merging. List the first 20 k -generalized Fibonacci numbers for $k = 2, 3, 4, 5$.

6. (informal homework) Using ideas from Reynolds's paper on generalized polyphase merging, describe how to determine the initial distribution of sorted runs on tapes for a k -way polyphase merge sort. Provide illustrative examples.

², §8.4.

³Reynolds, *op. cit.*